

12/28/00
10979 U.S. PTO

12-99-00

EL528759656US

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1150
First Inventor	Kishan B. Shah
Title	SYSTEM AND METHOD FOR EFFICIENT DETERMINATION OF RECOGNITION INITIAL CONDITIONS
Express Mail Label No.	EL528759656US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 28]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - CD-ROM or CD-R (2 copies); or
 - paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R.3.73(b) Statement (when there is an assignee) Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
Other:
17.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	Charles E. Gotlieb				
	Innovation Partners				
Address	540 University Avenue				
	Suite 300				
City	Palo Alto	State	CA	Zip Code	94301
Country	US	Telephone	650-328-0100	Fax	650-328-2844

Name (Print/Type)	Charles E. Gotlieb	Registration No. (Attorney/Agent)	38,164
Signature	Charles E. Gotlieb		
	Date	December 28, 2000	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time that you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

12/28/00
10979
09/750602

FEE TRANSMITTAL for FY 2001

TOTAL AMOUNT OF PAYMENT (\$ 750)

Complete if Known	
Application Number	
Filing Date	12/28/2000
First Named Inventor	Kishan B. Shah
Examiner Name	
Group Art Unit	
Attorney Docket Number	1150

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 07-1738

Deposit Account Name Law Offices of Charles E. Gotlieb

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17.

Applicant Claims small entity status
See 37 CFR 1.27

2. Payment Enclosed

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
		SUBTOTAL (1) (\$)		710	

2. EXTRA CLAIMS

		Extra	Fee from below	Fee Paid
Total Claims	20	-	20 =	<input type="text"/> X <input type="text"/> = <input type="text"/>
Independent Claims	3	-	3 =	<input type="text"/> X <input type="text"/> = <input type="text"/>
Multiple Dependent				<input type="text"/> = <input type="text"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
		SUBTOTAL (2) (\$)			

*or number previously paid, if greater; For Reissues, see above

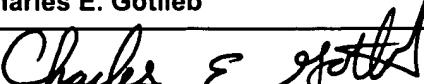
3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Description	Fee Paid
	105	130		205	65	Surcharge-late filing fee or oath	
	127	50		227	25	Surcharge - late provisional filing fee or cover sheet	
	139	130		139	130	Non-English specification	
	147	2520		147	2520	For filing a request for ex parte reexamination	
	112	920*		112	920*	Requesting a publication of SIR prior to Examiner action	
	113	1840*		113	1840*	Requesting publication of SIR after Examiner action	
	115	110		215	55	Extension for reply within first month	
	116	390		216	195	Extension for reply within second month	
	117	890		217	445	Extension for reply within third month	
	118	1390		218	695	Extension for reply within fourth month	
	128	1890		228	945	Extension for reply within fifth month	
	119	310		219	155	Notice of Appeal	
	120	310		220	155	Filing a brief in support of an appeal	
	121	270		221	135	Request for oral hearing	
	138	1510		138	1510	Petition to institute a public use proceeding	
	140	110		240	55	Petition to revive - unavoidable	
	141	1240		241	620	Petition to revive - unintentional	
	142	1240		242	620	Utility issue fee (or reissue)	
	143	440		243	220	Design issue fee	
	144	600		244	300	Plant issue fee	
	122	130		122	130	Petitions to the Commissioner	
	123	50		123	50	Petitions related to provisional applications	
	126	240		126	240	Submission of Information Disclosure Stmt	
	581	40		581	40	Recording each patent assignment per property (times number of properties)	40
	146	710		246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
	149	710		249	355	For each additional invention to be examined (37 CFR 1.129(b))	
	179	710		279	355	Request for Continued Examination (RCE)	
	169	900		169	900	Request for expedited examination of a design application	
Other fee (specify)							

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

40

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Charles E. Gotlieb	Registration No. (Attorney/Agent)	38,164	Telephone 650-328-0100
Signature		Date	12/28/2000	

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